



AF 3728/\$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** David Chao

**SERIAL NO.:** 10/002,253

**FILING DATE:** November 2, 2001

**ATTNY. DOCKET:** 62188.015200

**TITLE:** **CONTAINERS WITH ADDITIONAL FUNCTIONALITY**

**REGULAR MAIL CERTIFICATE**

**Date of Deposit:** April 16, 2004

I hereby certify that the following attached paper(s) and/or fee

- (1) Information Disclosure Statement and Authorizing deduction of \$180.00 from Deposit Account 502458;
- (2) Fee Transmittal authorizing deduction of \$180 from Deposit Account 502458;
- (3) PTO/SB/08A form (Formerly 1449 form);
- (4) Copies of Cited References;
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents

are being deposited with the United States Postal Service Regular Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to Mail Stop DD; Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450.

Respectfully submitted,  
**GREENBERG TRAUIG, LLP.**

Randi Flamenbaum  
Legal Assistant

Dated: April 16, 2004

**CORRESPONDENCE:**

**GREENBERG TRAUIG, LLP**

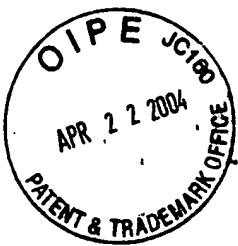
**Barry J. Schindler, Esq.**

885 Third Avenue, 221<sup>st</sup> Floor

New York, NY 10022

Tele: (212) 801-2100

Fax: (212) 688-2449



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**Applicant(s):** David Chao : **Group Art Unit:** 3728

**Serial No.:** 10/002,253 : **Examiner:** Jila M. Mohandesi

**Filed:** November 2, 2001 : **Attorney Docket No.:** 62188.015200

**For:** CONTAINERS WITH ADDITIONAL :  
FUNCTIONALITY :

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(c)(2). In this regard, it is noted that the Information Disclosure Statement is accompanied by the \$180 fee set forth in 37 C.F.R. 1.17(p).

Of further note, no representation is intended that a search has been made by applicant or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

A copy of each reference cited in the attached Form 1449 is included herewith.

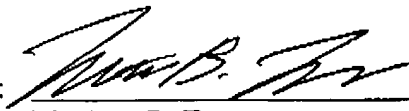
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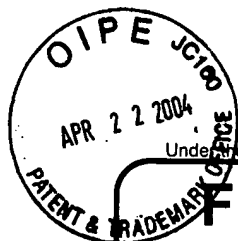
Please charge the \$180 fee identified above to Deposit Account No. 502458, in the name of Contour Optik, Greenberg Traurig LLP.

Respectfully submitted,  
GREENBERG TRAURIG

Dated: April 16, 2004

By:   
Matthew B. Tropper  
Registration No. 37,457

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180

## Complete if Known

Application Number	10/002,253
Filing Date	November 2, 2001
First Named Inventor	David Chao
Examiner Name	Jila M. Mohandes
Art Unit	3728
Attorney Docket No.	62188.015200

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 502458  
Deposit Account Name: Contour Optik/Greenberg T

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ )			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ )		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for ex parte reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 420	2252 210			Extension for reply within second month	
1253 950	2253 475			Extension for reply within third month	
1254 1,480	2254 740			Extension for reply within fourth month	
1255 2,010	2255 1,005			Extension for reply within fifth month	
1401 330	2401 165			Notice of Appeal	
1402 330	2402 165			Filing a brief in support of an appeal	
1403 290	2403 145			Request for oral hearing	
1451 1,510	1451 1,510			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,330	2453 665			Petition to revive - unintentional	
1501 1,330	2501 665			Utility issue fee (or reissue)	
1502 480	2502 240			Design issue fee	
1503 640	2503 320			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(c)	
1806 180	1806 180			Submission of Information Disclosure Sheet	
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 770	2809 385			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385			For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	
Other fee (specify) IDS Statement & Forms w/docs					180

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180

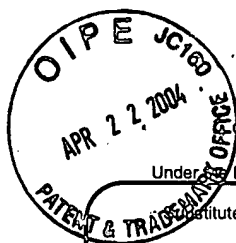
## SUBMITTED BY

Name (Print/Type)	Barry J. Schindler	Registration No. (Attorney/Agent)	32,938	Telephone	212-801-2244
Signature	Barry J. Schindler	Date	4/16/2004		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Institute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

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**Complete if Known**

Application Number	10/002,253
Filing Date	November 2, 2001
First Named Inventor	David Chao
Art Unit	3728
Examiner Name	Jila M. Mohandesi
Attorney Docket Number	62188.015200

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1.	US- 4,140,140	02/20/1979	Proia, et al	
	2.	US- 4,230,224	10/28/1980	Weeks	
	3.	US- 4,384,645	05/24/1983	Manfredi	
	4.	US- 5,605,226	02/25/1997	Hernlein	
	5.	US- 5,706,975	01/13/1998	Cronk	
	6.	US- 5,918,734	07/06/1999	Devens, et al	
	7.	US- 5,929,967	07/27/1999	Conner	
	8.	US- 6,145,986	11/14/2000	Conner	
	9.	US- 6,170,108	01/09/2001	Knight	
	10.	US- 6,247,477	06/19/2001	Wagner	
	11.	US- 6,357,641	03/19/2002	Cheng	
	12.	US- 6,463,936	10/15/2002	Hicks	
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TECHNOLOGY CENTER P5709**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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